Department of Defense

Fiscal Year 2009 Report

on

Humanitarian Assistance

(Report furnished in accordance with 10 USC Section 2561)

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Defense Security Cooperation Agency

THE HUMANITARIAN ASSISTANCE PROGRAM OF THE DEPARTMENT OF DEFENSE (10 USC SECTIONS 2561 AND 2557)

Introduction

The Department of Defense (DoD) Humanitarian Assistance program supports U.S. foreign policy and national security goals of regional stability and promotion of democratic and economic development.

The Department's humanitarian assistance activities are aimed at improving DoD visibility, access, and influence while building and/or reinforcing security and stability in a host nation or region; providing disaster mitigation training and/or bolstering host nation capacity to avert humanitarian crises and respond to disasters; and generating collaborative relationships with a host nation's civil society as well as positive public relations and goodwill toward DoD.

Foremost a tool for achieving U.S. security objectives, the Department's humanitarian assistance activities directly support efforts to counter ideological support for terrorism. These activities provide Geographic Combatant Commanders (GCCs) with unobtrusive, low cost, but highly effective instruments to carry out their security cooperation activities.

The Defense Security Cooperation Agency (DSCA) is charged with program/resource management. The Office of the Deputy Assistant Secretary of Defense, Partnership Strategy and Stability Operations, provides policy oversight.

Legislative Authorities

Pursuant to 10 USC Section 2561, funds authorized to be appropriated to DoD for a fiscal year for humanitarian assistance shall be used for the purpose of providing transportation of humanitarian relief and for other humanitarian purposes worldwide. The Secretary of Defense may also use this authority to transport supplies intended for use to respond to, or mitigate the effects of, an event or condition that threatens to seriously harm the environment, but only if other sources to provide such transportation are not readily available.

Pursuant to 10 USC Section 2557, the Secretary of Defense may make available for humanitarian relief purposes any DoD nonlethal excess supplies.

The Overseas Humanitarian, Disaster, and Civic Aid (OHDACA) appropriation funds these activities. DSCA performs financial management, including allocation of funds to the GCCs.

Overview of Activities

DoD conducts humanitarian assistance, disaster relief/emergency response, and funded transportation programs pursuant to 10 USC Sections 2557 and 2561.

Humanitarian assistance activities include assessments of needs, rudimentary construction/renovation of public facilities (such as schools, hospitals, clinics, and orphanages); digging or improving water wells and other sanitation and drinking water projects; and repairing/building rudimentary infrastructure such as roads and bridges. DoD in-country personnel and/or the GCCs nominate projects in coordination with the U.S. country team and other U.S. agencies in the country and/or region, such as the U.S. Agency for International Development (USAID). The respective GCC endorses a nominated project to DSCA using an automated database. DSCA coordinates proposed projects as appropriate with other DoD and interagency offices and approves/disapproves them. Once a project is approved, the responsible GCC determines when to fund the project and oversees its execution. Traditionally, more projects are submitted than can be covered by available funding, thus the GCCs must prioritize which ones are funded.

Non-lethal excess property provided may include such items as medical/school/office equipment and supplies, construction and disaster-related equipment/tools, and vehicles. Excess property is transferred from DoD to the Secretary of State, usually as represented by the American Embassy in the recipient country, for further distribution. Excess property for planned projects is generally moved to the recipient country by surface transportation. In support of disaster/emergency response, excess property is most often moved by a combination of surface and air transportation.

The Department's disaster relief/emergency response includes disaster preparedness and disaster response training, as well as immediate assistance in the wake of natural and manmade disasters. Such assistance may take the form of airlift, logistical support, and provision of emergency supplies such as blankets, tents, water and humanitarian daily rations, and search and rescue operations.

DoD components participate in foreign disaster relief/emergency response activities when directed by the President, with the concurrence of the Secretary of State, and in emergency situations in order to save lives. DoD responses are coordinated with the USAID's Office of Foreign Disaster Assistance and other relevant agencies.

Also conducted pursuant to 10 USC Section 2561 is the Funded Transportation program. This program provides worldwide DoD-funded transportation of humanitarian cargo. It applies to both DoD cargo (for example, disaster relief supplies and non-lethal excess property) and private donations by non-governmental and international organizations. Appropriated funds pay for the actual cost of transportation and any associated administrative costs incurred.

While statutory authority permits transportation of private donations via any mode and for any cargo that could be defined as humanitarian, current policy restricts cargo to that which addresses basic humanitarian needs (e.g., medical, food, clothing, shelter) and, in the interest of cost-effectiveness, limits transportation to surface modes and establishes a minimum cargo size (one 20-foot container or 1,100 cubic feet).

Reporting Elements – Fiscal Year 2009

Pursuant to 10 USC Section 2561(c) an annual status report on the provision of humanitarian assistance is required for the prior fiscal year. The report shall set forth the following information:

- (1) The total amount of funds obligated for humanitarian relief under the section:
- (2) The number of scheduled and completed transportation missions for purposes of providing humanitarian assistance under the section; and
- (3) A description of any transfer of excess DoD nonlethal supplies under 10 USC Section 2557, to include the date of transfer, the entity to whom the transfer is made, and the quantity of items transferred.

As of September 30, 2009, the total amount of funds obligated in fiscal year 2009 from the OHDACA appropriation for humanitarian relief and other humanitarian purposes worldwide under 10 USC Section 2561 was \$79.087 million. This figure represents humanitarian assistance projects and private donor shipments, as well as support to disaster relief/emergency response. Alone, foreign disaster relief/emergency response activities amounted to \$8.662 million in fiscal year 2009. Transportation missions were conducted in support of three

disasters/emergencies. Because transportation missions are calculated in various ways, e.g., helicopter lift is calculated in hours not point-to-point flights, the following accounts for funds obligated as of September 30, 2009 for fixed and rotary wing airlift during the periods indicated for the three disasters/emergencies:

Haiti Hurricane Hanna (Sep-Oct 2008)	.364M
Pakistan Complex Emergency (May 2009)	.550M
Taiwan Typhoon Marokot (Aug 2009)	<u>.609M</u>

Total: \$1.523M

OHDACA funds also pay associated ground and port handling and surface transportation costs.

The description of excess property transfers and an enumeration of other funded shipments for purposes of providing humanitarian assistance during fiscal year 2009 are included in the following pages.

	Humanitarian Ass	istance Excess Property Transfers - FY09	
Destination	Container Quantity by Type	Commodity Type	Delivered
Argentina	4 X 40', 1 X 20', Flatbed	Tents, Cots, Toilets	3rd Quarter
Armenia	1 X 40'	School Furniture	4th Quarter
Benin	2 X 20'	School Equipment, School Supplies	4th Quarter
Belize	1 X 20'	Cots, Sleeping Bags	1st Quarter
Colombia	Flatbed	Tractors (5 and 10 ton)	1st Quarter
Colombia	3 X 40', 1 X 20', Flatbed	Disaster Supplies, Toilets	4th Quarter
Ecuador	1 X 20'	Generator, Tents, Office Furniture	3rd Quarter
Ecuador	1 X 20'	Tents, Miscellaneous	4th Quarter
Ecuador	N/A	Ambulance (transferred on site)	4th Quarter
Honduras	4 X 40'	Tents	3rd Quarter
Jordan	1 X 20'	Medical Supplies	4th Quarter
Latvia	1 X 40'	Household Goods	1st Quarter
Latvia	2 X 20'	Household Goods, School and Medical Supplies/Equipment	1st Quarter
Latvia	2 X 44'	Disaster Supplies, Generator, School Supplies and Furniture	3rd Quarter
Latvia	1 X 44'	Disaster Supplies, School Supplies and Furniture	3rd Quarter
Latvia	2 X 44'	Disaster Supplies, School Supplies and Furniture	3rd Quarter
Lebanon	Flatbed/Air	Firetruck	3rd Quarter
Moldova	Flatbed	Generators	1st Quarter
Montenegro	Flatbed	Van	1st Quarter
Montenegro	Flatbed	Crash Truck	1st Quarter
Montenegro	1 X 20'	Medical Supplies	1st Quarter
Nigeria	1 X 40'	Medical Supplies	2nd Quarter
Paraguay	1 X 40'	Tents and Cots	2nd Quarter
Peru	2 X 40'	Medical Supplies & Equipment	1st Quarter
Serbia	3 X 40'	Medical Supplies & Equipment	1st Quarter

Humanitarian Assistance Excess Property Transfers - FY09			
Destination	Container Quantity by Type	Commodity Type	Delivered
Serbia	2 x 40', 1 X 20'	Household Goods, Toys, Generator	3rd Quarter
Serbia	1 X 40', Flatbed	Medical Supplies, Generators (2), Trucks (4)	4th Quarter
Sri Lanka	25 X 20', 1 X 40', Flatbed	Medical Supplies and Vehicles	3rd Quarter
St. Kitts	2 X 20'	Tents, Generator (1), Miscellaneous	2nd Quarter
St. Kitts	1 X 20', Flatbed	Medical Supplies, Generator Truck	2nd Quarter
Suriname	1 X 20'	Musical Instruments, Miscellaneous	2nd Quarter
Taiwan	4 Air Pallets	Plastic Construction Sheets	4th Quarter
Tunisia	2 X 40', Flatbed	Household Goods	2nd Quarter
Uganda	6 X 40'	School Supplies and Equipment	4th Quarter
Ukraine	1 X 40'	Disaster Supplies and Office Equipment	1st Quarter
Zambia	2 X 40', Flatbed	Household Goods, Generator (1), Trucks (2)	2nd Quarter

Humanitarian Daily Rations (HDR) and Avian Influenza/Pandemic Influenza Personal Protection Equipment (PPE) Deliveries - FY09

Destination	Container Quantity by Type	Commodity Type	Delivered
Belize	1 X 20'/Air	PPE	3rd Quarter
El Salvador	1 X 20'/Air	PPE	3rd Quarter
Guatemala	1 X 20'/Air	PPE	3rd Quarter
Haiti	1 X 20'/Air	PPE	3rd Quarter
Honduras	1 X 20YAir	PPE	3rd Quarter
Mexico	28' Van	PPE	3rd Quarter
Mexico	1X 40'	PPE	4th Quarter
Nicaragua	1 X 20'/Air	PPE	3rd Quarter
Panama	1 X 40'	PPE	1st Quarter

HUMANITARIAN ASSISTANCE FUNDED TRANSPORTATION SHIPMENTS - FY 09

Destination	Container Quantity by Type	Commodity Type	Delivery Date
Albania	1 x 40'	Educational Material and Books	4th Quarter
Belize	1x 20'	Medical Supplies and Equipment	1st Quarter
Cameroon	1 x 40'	Clothing, Household Items, Educational Materials	4th Quarter
Colombia	1 x 40'	Non-perishable Food (Rice)	2nd Quarter
Colombia	1 x 40'	Non-perishable Food (Rice)	3rd Quarter
Dominican Republic	1 x 20'	Clothing, Household Items, Educational Materials	3rd Quarter
Ecuado <u>r</u>	1 x 20'	Orthopedic Medical Supplies	4th Quarter
El Salvador	5 x 40'	Medical Disposables and Supplies	2nd Quarter
El Salvador	1 x 40'	Non-perishable Food (Rice)	3rd Quarter
Ethiopia	1 x 20'	Educational Material and Books	3rd Quarter
Ethiopia	1 x 40'	Educational Material and Books	3rd Quarter
Ghana	2 x 40'	Clothing, Household Items, Educational Materials	1st Quarter
Ghana	1 x 40'	Clothing, Household Items, Educational Materials	3rd Quarter
Ghana	1 x 40'	Clothing, Household Items, Educational Materials	3rd Quarter
Grenada	1 x 20'	Clothing, Household Items, Educational Materials	3rd Quarter
Guatemala	7 x 40	Medical Supplies and Equipment	4th Quarter
Guatemala	1 x 40	Non-perishable Food (Rice)	4th Quarter
Haiti	2 x 40'	Non-perishable Food (Rice)	1st Quarter
Haiti	1 x 40'	Non-perishable Food (Rice)	1st Quarter
Haiti	1 x 40'	Non-perishable Food (Rice)	1st Quarter
Haiti	1 x 40'	Non-perishable Food (Rice)	2nd Quarter

Haiti	1 x 40'	Non-perishable Food (Rice)	2nd Quarter
Haiti	1 x 40'	Non-perishable Food (Rice)	3rd Quarter
India	1x40'	Clothing, Household Items, Educational Materials	4th Quarter
Iraq	1 x 40'	Medical Supplies and Equipment	2nd Quarter
Israel	1 x 40'	Medical Supplies, Equipment and Clothing	1st Quarter
Kenya	1 x 20'	Water Well Drill	3rd Quarter
Kenya_	1 x 40'	Medical Supplies and Equipment	3rd Quarter
Kenya	1 x 40'	Medical Supplies and Equipment	3rd Quarter
Liberia	1 x 40'	Medical Supplies and Clothing	3rd Quarter
Malawi	1 x 30'	Medical Supplies and Equipment	1st Quarter
Malawi	1 x 20'	Wheelchairs	1st Quarter
Malawi	1 x 20'	Wheelchairs	1st Quarter
Malawi	1 x 40'	Wheelchairs	1st Quarter
Malawi	1 x 30'	Clothing, Household Items, Educational Materials	1st Quarter
Malawi	1 x 40'	Clothing, Household Items, Educational Materials	3rd Quarter
Malawi	1 x 40'	Clothing, Household Items, Educational Materials	3rd Quarter
Malawi	1 x 40'	Clothing, Household Items, Educational Materials	3rd Quarter
Nicaragua	1 x 40'	Educational Material and School Supplies	1st Quarter
Nicaragua	1 x 40'	Educational Material and School Supplies	1st Quarter
Nicaragua	3 x 40'	Educational Material and School Supplies	3rd Quarter
Nicaragua	1 x 40'	Non-perishable Food (Rice)	3rd Quarter
Nicaragua	3 x 40'	Educational Materials, Books and School Furniture	4th Quarter
Nicaragua	5 x 40'	Educational Materials, Books and School Furniture	4th Quarter

Nicaragua	1 x 40'	Educational Materials, Books and School Furniture	4th Quarter
Nicaragua	4 x 40'	Educational Materials, Books and School Furniture	4th Quarter
Nigeria	1 x 40"	Clothing, Household Items, Educational Materials	4th Quarter
Panama	1 x 40'	Non-perishable Food (Rice)	3rd Quarter
Panama	1 x 40'	Non-perishable Food (Rice)	4th Quarter
Peru	1 x 40'	Non-perishable Food (Rice)	3rd Quarter
Peru	1 x 40'	Non-perishable Food (Rice)	3rd Quarter
Poland	1 x 40'	Wheelchairs	1st Quarter
Romania	1 x 40'	Non-perishable Food (Beans)	1st Quarter
Sierra Leone	2 x 40'	Educational Material, Books and School Supplies	4th Quarter
Sierra Leone	Breakbulk	School Bus	4th Quarter
South Africa	1 x 40'	Wheelchairs	2nd Quarter
South Africa	1 x 40'	Educational Material and Books	2nd Quarter
Tanzania	1 x 40'	Clothing, Household Items, Educational Materials	2nd Quarter
Tanzania	1 x 40'	Clothing, Household Items, Educational Materials	4th Quarter
Uganda	3 x 40'	Educational Material and Books	2nd Quarter
Uganda	1 x 40'	Wheelchairs	3rd Quarter
Uganda	2 x 40'	Educational Material and Books	4th Quarter
Uganda	2 x 40'	Educational Material and Books	4th Quarter
Zambia	1 × 40'	Clothing, Household Items, Educational Materials	2nd Quarter
Zambia	1 x 40'	Clothing, Household Items, Educational Materials	2nd Quarter
Zambia	1 x 40'	Clothing, Household Items, Educational Materials	2nd Quarter
Zambia	1 x 40'	Clothing, Household Items, Educational Materials	2nd Quarter
Zambia	Breakbulk	Ambulance	2nd Quarter

Zimbabwe	1 x 20'	Medical Supplies and Equipment	2nd Quarter
Zimbabwe	5 x 40'	Medical Disposables and Orphan Infant Care Kits	2nd Quarter